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RCUN101	Audit Files	T + 2	D	T = Resolution of issues raised in audit.	No	OIOS/IAD, Board of Auditors
Department copies of audit reports, responses to reports and supporting materials pertaining to internal and external audits of UN Departments, Offices, Divisions and Programmes.						
RCUN144	Budget Files and Financial Reporting Files - Annual Budget	C + 3	D		No	OPPBA
Department copies of correspondence, memos, and reports dealing with planning the substantive and administrative activities of an office; budget submissions and budget preparation instructions; tracking of allotments and expenditures; performance indicators; medium term planning; accomplishment, activity and workload reports submitted to higher levels.						
RCUN102	Budget Files and Financial Reporting Files - Biennial Budget	B + 4	D		No	OPPBA
Department copies of correspondence, memos, and reports dealing with planning the substantive and administrative activities of an office; budget submissions and budget preparation instructions; tracking of allotments and expenditures; performance indicators; medium-term planning; accomplishment, activity and workload reports submitted to higher levels.						
RCUN103	Business Continuity Plans	S	D		Yes	Business Continuity Planning Unit
Documentation detailing plans to protect and contact staff and records, and to resume business activities in the event of a disaster of emergency.						

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Records of less than 3 years retention should be retained in offices and may not be sent to storage

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RCUN104	Chronological Files - Incoming & Outgoing	C+1	D		No	
<p>Copies of outgoing and incoming correspondence, memos, code cables, faxes, etc., arranged chronologically for quick reference. The record copy must be placed in the relevant subject file or record series in the office originating or taking action.</p>						
RCUN105	Committee Files	B + 1	D	Committee Chair's copy is to be reviewed by the Archive for permanent retention.	No	Committee Chair
<p>Agendas, minutes, reports, workpapers with respect to participation in Official UN Committees or UN participation in internal or external boards & groups. (For files pertaining to ad hoc committees and task forces see RCUN 125, Project Files, below.)</p>						
RCUN106	Communications Bills	T	D	T = charges resolved.	No	ITSD
<p>Detailed bills for charges for calls made using telephones or Blackberries, as well as for telexes, and faxes used to recover charges for the personal communications from staff members.</p>						
RCUN107	Computer Systems Documentation	T + 1	D	T = system/application no longer installed AND any stored data created using the system / application / software has been destroyed or converted to format readable by current application software / hardware, or to a generic format.	Yes	
<p>Documentation of programming, codes, operational instructions, file layouts, security, customization, etc. of any computer systems or applications installed by the Department in addition to the standard applications provided by ITSD.</p>						

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RCUN108	Consultant Files (including SSA's)	T + 5	D	T = consultant no longer engaged.	No	Executive Office
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Records pertaining to the engagement, mission and payment of consultants including terms of engagement, approvals, travel arrangements, financial records, terms of reference.

RCUN109	Contacts Files/Lists	S	D		No	
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Lists of contact information (telephone, e-mail, address) including expert lists, knowledge networks, conference/meeting/event attendees, etc., along with information about nature of contacts and interactions.

RCUN110	Country Files	S	D		No	
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Records, correspondence and general information about countries and regions not related to a specific project or action, arranged by country or region.

RCUN111	Daily Activities Records	C + 1	D		No	
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Calendars, appointment books, schedules and logs kept to assist United Nations officials to organize and allocate their time to such activities as meetings, telephone calls, trips, and visits.

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RCUN112	Delegations of Authority	S	D	S = Superseded, expired or terminated	Yes	
Assignments of authority for United Nations officials to act on behalf of others, or to carry out specific activities.						
RCUN113	Equipment and Furnishing Inventories	S	D		No	
Inventories of computers equipment, office equipment, office furniture and furnishings and other UN property for which the department/unit is responsible.						
RCUN114	Equipment Maintenance & Operation Files	T	D	T = Equipment no longer maintained/disposed of in compliance with UN Property Survey Board Guidelines & Procedures.	No	
Files pertaining to the maintenance and operation of office equipment, & furnishings, copiers, computers, monitors, printers, scanners and other peripherals and associated equipment including , documentation, manuals, service contacts, warranties, where purchased, etc. (See RCUN 124 &125 for files pertaining to the procurement of equipment.)						
RCUN115	Expense Reports/Files	B + 3	D		No	OPPBA - Accounts Division
Reports and documentation submitted to OPPBA Accounts section for the reimbursement of expense, including travel, incurred by staff while conducting United Nations business.						

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RCUN116	Grievance and Disciplinary Case Files Files concerning grievance and disciplinary actions with respect to individual UN personnel including Administrative Tribunal Cases.	T	D	T = Case settled and employee separted, retired or transferred	No	OHRM/ALU/UNAT
RCUN117	Invoices - Purchases under \$2500 - originals Documentation of billing and payment for goods and services.	B + 5	D	Do not destroy before audit clearance for biennium	No	
RCUN118	Meeting Files - Departmental/Interdepartmental/Administrative/Status Agendas and minutes of regular departmental/ divisional/interndepartmental meetings.	C + 2	D		No	
RCUN119	Meetings/Seminars/Conferences/Training - Presenter/Organizer Files Records pertaining to management training/workshops (strategy meeting, brainstorming sessions, etc.); retreats; and internal & external project presentations including speeches, PowerPoint presentations, handout materials, training manuals, etc	C + 5	D		No	

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RCUN120	Office Management Files	C + 3	D		No	
Records pertaining to the daily administration of the UN departments/divisions/offices, units including office management, safety and security, emergency or ad hoc project focal points, document processing, building passes, UN work calendar, leave and event and work schedules, etc.						
RCUN121	Policies and Procedures - Issuing Office	P	A	May be sent to storage when superceded	No	
Policies, procedures, directives, instructions and guidelines created by this office as guidance for other offices either within the department or in other departments.						
RCUN122	Policies and Procedures - Received from Other Offices	S	D		No	ISSUING OFFICE
Policies, procedures, directives, instructions and guidelines received from other offices and departments, concerning day to day operations including budget and financial procedures, personnel matters, recordkeeping, security, procurement, facilities, etc, as well as the administrations of the UN's substantive programs. Policies, procedures, directives, instructions and guidelines received.						
RCUN123	Procurement Records, Agreements/Contracts/Leases - Short Form.	T + 5	D	T = Procurement completed, contract expires, services complete.	No	
Original records pertaining to all requisitions & purchases under \$2500 including bids, proposals, quotations, invoices, payment records, etc.						

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RCUN124	Procurement Records, Agreements/Contracts/Leases-Long Form For purchases over \$2500 - copies of leases/agreements and contracts for goods and services maintained as information copies by the recipients of the goods or services.	T + 1	D	T = Procurement completed, contract expires, services complete.	No	PROCUREMENT SERVICE
RCUN125	Project Files Files pertaining to special projects, task forces, ad hoc committees, initiatives, or activities, which are not part of the routine daily activities of the department/work group.	T + 5	A	Official records of the project are kept by the project /team/task force leader. Records kept by members of project team/task force, ad hoc committee should be discarded at project completion.	No	Project Leader
RCUN126	Records Destruction Authorizations Forms circulated by ARMS to owner department/offices and appropriate UN officials requesting the authorization of the destruction of records in accordance with authorized United Nations retention schedules.	P	A		Yes	ARMS
RCUN127	Records Management Files Retention Schedules, Stored Records Inventories, Procedures, Correspondence,	S	D		Yes	

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RCUN128	Reference Files	S	D		No	
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Materials of a general nature, generally published and not related to a particular project or transaction, retained to provide general reference information with respect to operations and subject matters of interest to the department.

RCUN129	Service Request and Requisition Files	T	D	T = Service request completed	No	
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Records pertaining requests for services from supportive offices including janitorial services, locksmith memos, water, office supplies stock requisitions, furniture, moves, FMS or ITSD requests, food services, Lotus Fax requests, translation requests, etc.

RCUN130	Software Licenses	T + 3	D	T = Software not longer installed.	Yes	
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Licenses for software purchased and installed by departments for department - specific software and applications.

RCUN131	Staff Administration Files - Executive Office	T + 3	D	T = Employee transfer or separation.	No	Executive Office
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Records pertaining to individual staff members including: performance reviews (EPAS), promotion, employment contract, attendance record, assignments. missions, training/classes, evaluations, SPA requests, official business travel, cell phones/blackberries, promotion, secondment/transfer, resignation, termination, retirement, etc. Contains staff contact information, biographies, records of requests for action submitted through Departmental Executive Office to OHRM.

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RCUN132	Staff Member Files - Unofficial	T + 1	D	T = Transfer or separation of employee	No	
Unofficial files kept by division, office, section or unit heads containing supervisory information about current employees including contact information, current work assignments, commendations, evaluations, etc. Should NOT include information precluded in ST/IC/82/77 (3 December 1982), ST/IC/82/77Rev1 (11 January 1983) or ST/IC/88/19 (4 April 1988) which designate the Official Status Files retained in OHRM as the sole official personnel files.						
RCUN133	Staff Organization Files	S	D		No	OHRM
Information pertaining to the staffing of the department including position descriptions, classifications and organization charts, etc.						
RCUN134	Staffing and Recruitment Files	T + 1	D	T = Position Filled	No	OHRM
Information pertaining to the hiring and recruitment of employees for department including recruitment correspondence, job postings, Temporary Vacancy Announcements (TVA's), etc.						
RCUN135	Subject Files - Division/Unit	C+3	D		No	
Official documentation of a general nature including correspondence, reports, memos, and related materials arranged by subject or function, kept by a division, unit.						

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RCUN136	Subject Files - USG	T + 1	A	T = Appointment of successor USG.	No	
Official documentation of a general nature including correspondence, reports, memos, and related materials arranged by subject or function.						
RCUN137	Technical Assistance Mission Files	T + 2	A	Mission Leader's copy should be maintained T+4 and reviewed by Archive for permanent preservation.	No	Mission Leader
Terms of reference, workpapers, reports, data pertaining to mission.						
RCUN138	Timesheet, Leave & Overtime Files	C + 1	D		No	OHRM/IMIS
Department copies of timesheets, leave requests and approvals and other materials and documentation concerning the attendance of UN personnel.						
RCUN139	Travel Arrangements Files	T + 1	D	T= Trip completed	No	
Reservations, itineraries, contact information, etc. for official staff travel. For travel expense claims see Expense/Reports Files, above.						

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RCUN140	Trust Fund Administration Files & Finance	B + 5	D		No	OPPBA
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Files pertaining to the administration of trust funds and the programmatic uses of the fund's assets including allotment advices, cash receipts, interoffice and journal files, expenditure reports, financial statements and current transactions and correspondence.

RCUN141	Trust Fund Establishment Files	T + 5	A	T = Life of Trust Fund	No	OPPBA
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Record of authority and purpose for establishing a trust fund, establishment of bank accounts, designation of certifying officers, trust fund policies and procedures.

RCUN142	Working Files	T + 1	T	T=Completion of project, transaction, report, or assignment.	No	
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Files created and used by a staff members for reference purposes or for use in the analysis or preparation of other material to support work in progress. May include drafts, rough notes, copies of correspondence, memoranda, cables, reports and annotated publications. The final version of material produced should be included in the appropriate record series in the department or unit common files.

RCUN143	Yearly Attendance and Leave Balance Records Summary	C + 5	D		No	Executive Office
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Yearly report of attendance and leave balances signed by employee, and retained in the Executive Office.

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